

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re Application of:
Dr. Walter Rosenbaum

Serial No.: 09/961,088

Filing Date: 24.09.2001

For:

Electronic Cash on Delivery

Art Unit: 2131

Examiner: to be assigned

Atty. Docket No.:2001P16211UŠ

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**Techn**ology Center 2100

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington DC 20231

Sir:

In compliance with Rule 1.97 and 1.98, and in fulfillment of the duty of disclosure under Rule 1.56, the accompanying documents, copies of which are attached to this statement, are made of record on the enclosed sheet.

A concise explanation of the relevance of these items is set out in the specification and/or in that these references were cited by the European Patent Office in the corresponding European Application, No. EP 01122771, and International Search Report for corresponding International Application PCT/EP00/04146, and/or mentioned in the above referenced US application and/or determined by Applicant(s) to be related to the patentability of the claims.

Pursuant to Rule 1.97(b), this information disclosure statement is being filed prior to the first examination on the merits. Therefore no fee for this filing is due. Consideration of the attached references by the Examiner is requested.

Respectfully Submitted, Siemens Schweiz Customer No. 28204

Jacob Eisenberg, Esq. Registration No. 43,410

12/10/2002

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Complete if Known Substitute for form 1449A/PTO **Application Number** 09/961.088 INFORMATION DISCLOSURE 09/24/2001 Filing Date Walter ROSENBAUM STATEMENT BY APPLICANT First Named Inventor 2131 Art Unit (use as many sheets as necessary) **Examiner Name** to be assigned Attorney Docket Number 2001P16211US Sheet 1 2

			U.S. PATE	NT DOCUMENTS	<del></del>
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
		Number - Kind Code <sup>2</sup> (if known	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
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		FORE	EIGN PATENT D	OCUMENTS		
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code 3 - Number 4 - Kind Code 5 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6
		DE 19925524A	12.07.2000	Identcom GmbH	search report	
		WO 00/65517	11.02.2000	Hertz Tech	search report	
AND THE PROPERTY OF THE PARTY O		WO 01/31593	10.27.2000	Imaging Tech	search report	
		WO/ 97/41499	11.06.1997	Martinez	search report	
		EP 0791901 A2	08.27.1997	Card Call Service	search report	
		WO 00/70565	11.23.2000	Identcom GmbH	De ref. abstract	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the appropriate symbols as indicated on the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO				Complete if Known			
				Application Number	09/961:088		
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STATEMENT DI APPLICANT				Group Art Unit	2131		
	(use as many shee	ets a	s necessary)	Examiner Name	to be assigned		
Sheet	2	of	2	Attorney Docket Number	2001P16211US		

	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher_city and/or country where published.	T <sup>2</sup>			
		International Search Report, PCT/EP00/04146, pages 1-2.				
		European Search Report with Annex, EP01122771				
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